

Council of Education's
Deshbhakta Ratnappa Kumbhar College of Commerce, Kolhapur
(An Autonomous College)
Affiliated to Shivaji University, Kolhapur

Name of the Certificate Course: Security Analysis and Portfolio Management		
Duration: 60 Hours (3 Months)	Theoretical: 40 Hours	Credit Course : 4
	Practical: 20 Hours	Course Code: COC-6
Total Fee: Rs. 600	Intake: 80	Total Marks: 100
Introduced from June 2022		
Course Objective/s:		
<ol style="list-style-type: none"> 1. To understand the concept and working of Security Market 2. To understand the concept of Mutual Fund and Capital Market 3. To gain knowledge about legal and regulatory framework governing Indian financial markets 4. To acquire techniques of analysis and evaluation of security using various techniques 		
Course Outcome/s:		
<ol style="list-style-type: none"> 1. Student will have basic knowledge relating to functioning of Indian financial markets. 2. Students will have practical knowledge relating to construction and evaluation of portfolio management. 3. Student participant will be able to provide basic investment related consultancy services to society. 		
Unit No.	Name and Contents of Units	Number of Lectures
1	Indian financial markets: 1.1 Primary market, Secondary market 1.2 Nature and functions of stock exchange, Concept of Investment and Risk, Listing of securities in Indian markets 1.3 Role and functions of depositories (NSDL, CDSL) 1.4 Role and Functions of SEBI	10
2	Mutual Fund: 1.1 Concept of mutual fund 1.2 Advantages of investing in mutual fund, Types of mutual fund, 1.3 Evolution of mutual funds in India, Structure of mutual funds in	10

	India, 1.4 Measuring and evaluating mutual fund performance	
3	Security analysis: 1.1 Analysis and understanding of current business and economic environment 1.2 Concept of security analysis 1.3 Fundamental analysis, Technical analysis 1.4 Efficient market theory	20
4	Portfolio management: 1.1 Concept of Portfolio, Risk and return 1.2 Concept of diversification of risk 1.3 Concept of asset allocation financial planning for investors, Selection of right investment products for investors and construction of portfolio 1.4 Evaluation and restructuring of portfolio	20

Reference Books:

1. Verma Sawalia and Pawar Yashwant. (2005), Financial Reforms and Risk Management, Pointer Publication, Jaipur
2. Bansal Lalit K. (1996), Mutual Funds Management and Working, Deep & Deep Publications, New Delhi
3. Roy P. N. (2019), International Trade Theory and Practice, New Age International Publishers, New Delhi
4. Madhumati R. And Ranganatham M. (2012), Derivatives and Risk Management, Pearson, Delhi
5. Dubofsky David and Miller Thomas. (2002), Derivatives valuation and Risk Management, Oxford, New Delhi
6. Gordon E. And Natarajan K. (1999), Capital Market in India, Himalaya Publication House, New Delhi
7. Mishra Rajiv, Jha Nishikant and et al. (2016), Investment Analysis and Portfolio Management, Himalaya Publication House, New Delhi
8. Fischer Donald E and Jordan Ronald J. (2003), Security Analysis and Portfolio Management, Pearson, Delhi

Eligibility: A student who has passed HSC or equivalent examination and who has taken

admission to PG course in the college.

Evaluation Pattern: An examination of 100 marks consisting of 60 marks for theory and 40 marks for practical shall be conducted at the end of first term.

Name of Collaborating Agency (If Required):